

## Curriculum Vitae of CHU Kai Cheung (Kenneth 朱啟祥)

### Education:

- Ph.D., School of Economics and Finance, The University of Hong Kong, (2008 – 2012). Thesis supervisor: Professor WONG Kit Pong, Keith.
- Master of Finance, School of Economics and Finance, The University of Hong Kong, (2005 – 2007).
- M.Phil., Department of Electrical and Electronic Engineering, The University of Hong Kong, (1996-1999).
- B.Engg, Department of Electrical and Electronic Engineering, The University of Hong Kong, (1993-1996).

### Research Interest:

- Value-Relevance, Financial Statement Analysis, Accounting Conservatism, Mispricing and Return Anomalies;
- Real Options, Corporate Finance, Distress Risk, Empirical Asset Pricings.

### Employment:

- 07/2015 – Present: Research Assistant Professor, School of Accounting and Finance, The Hong Kong Polytechnic University;
- 06/2014 – 06/2015: Postdoctoral Fellow (with also the functional title of Senior Manager of the AF Research Office; Assistant Editor for CAFR), School of Accounting and Finance, The Hong Kong Polytechnic University;
- 11/2013 – 05/2014: Research Associate, School of Accounting and Finance, The Hong Kong Polytechnic University;
- 03/2013 – 06/2013: Part-time lecturer, HKUSPACE;
- 09/2012 – 08/2013: Part-time lecturer, School of Economics and Finance, The University of Hong Kong;
- 09/2011 – 08/2012: Teaching Assistant, School of Economics and Finance, The University of Hong Kong;

### Publication:

- Chu, K.C. and Wong, K.P. (2010), ‘Progressive taxation and corporate liquidation policies with mean-reverting earnings’, *Economic Modelling*, 27(3), 730.

### Working Paper:

- Ohlson, James A. and Cheng, C.S. Agnes and Chu, K.C. Kenneth, “Analyst Forecasts: Sales and Profit Margins.” Under revision for resubmission to *Review of Accounting Studies*.

### Grant:

- HKSAR Research Grants Council, Early Career Scheme, 2016/17.

### Presentation:

- Chu, K.C. and Wong, K.P. (2010), 'Tax Convexity, Investment, and Capital Structure', Paper presented at the International Annual Real Options Conference, 2010.

### Course Instructor:

- AF5312 – Principle of Corporate Finance (Semester 1, 2017)
- AF5312 – Principle of Corporate Finance (Semester 1, 2016: grand mean 4.0/5.0 in the 2016 SFQ)
- FIN581 – Topics in Corporate Finance (Joint HKUSPACE/University of Michigan-Dearborn; Jun 2013 Intake);
- 12752 – Corporate Finance 572 (Joint HKUSPACE/Curtin University master course; Semester 1, 2013);
- FINA1003 – Corporate Finance (HKU undergraduate course; Semester 1&2, 2012-2013).
- FINA0102 – Financial Markets and Institutions (HKU undergraduate course; Semester 1&2, 2012-2013);

### Service:

- 06/2014 – Present: Appointed by the HoD of The School of Accounting and Finance as the Senior Manager of the Department's research office (AFRO); and Assistant Editor for the Department's refereed journal – China Accounting and Finance Review (CAFR).

### Public Examinations:

- CFA Level III Examination, 2009 (Pass)
- FRM® Examination, 2007 (Pass)

### IT Certifications and Skills:

- Oracle Certified Professional (8, 8i, 9i and 10g)
- HP-UX System Administration (HP-UX 11)
- Oracle9iAS Web Administrator Certified Associate
- IBM Certified System Administrator – WebSphere Application Server V5.0
- Sun Certified Engineer for Sun One Directory Server 5.x
- Sybase Certified Professional (ASE 12.0 Server Administrator Associate)
- Programming languages: SAS, MATLAB®, SQL, Unix shell script, C/C++
- Operating and database systems: Unix, Oracle, Microsoft SQL Server
- Databases: COMPUSTAT/CRSP/IBES databases in the WRDS