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Professional Interests

Academic Background

Ph.D. The Hong Kong Polytechnic University, 2016.

M.B.A. York University, Schulich School of Business, 1995.

B. Bus. The Hong Kong Polytechnic University, 1990.

Memberships

Chartered Financial Analyst, CFA Institute, 2000

Work Experience

Academic Experience

Teaching Fellow, The Hong Kong Polytechnic University (September, 2009 - Present), Hong Kong, China.

Lecturer in Finance, The Hong Kong Polytechnic University (2000 - August, 2009), Hong Kong, China.

Non-Academic Experience

National

Vice-President, Salomon Smith Barney Inc (1999 - 2000), Hong Kong, China.

Consulting

2019: HKTDC, In-house training,

2016 – 2017: HKTDC, In-house training,

2016: HKTDC, In-house training,

2016: HKTDC, In-house training,

2011: HKTDC, In-house training,

Other Teaching Activities

Student Team Evaluations

2007 - Student Team Evaluations.

Intellectual Contributions:

Refereed Articles

YIM, D., WONG, R., WONG, A., & YUEN, K.P. (2019). Impact of student background on their academic performance. *International Journal of Learning*.

YIM, D., WONG, A., CAI, C., LIU, S., & ZHU, J. (2016). CSR & CNR Merged into CRRC in China. *INTERNATIONAL RESEARCH JOURNAL OF APPLIED FINANCE*, 26.

Service:

Service to the University

Department Assignments

Member:

2007-2008 – 2009-2010: MPAcc Programme Committee (Traditional mode and CyberU mode)

2006-2007 – 2007-2008: X'mas dinner

2004-2005 – 2006-2007: Department Management Group

Mentoring Activities:

2011-2012: Foundation Year Mentorship Program

2009-2010 – 2010-2011: Foundation Students Mentorship Programme

2008-2009 – 2009-2010: Foundation Students Mentorship Programme

2007-2008 – 2008-2009: Foundation Students Mentorship Programme

2007-2008: Foundation Students Mentorship Programme

2006-2007: Foundation Year Student Mentors

Other Institutional Service Activities:

2011-2012: Interview panel

2011-2012: Interview Panel

2008-2009 – 2011-2012: Services

2007-2008: Postgrad program of FB

2007-2008: E*Trade competition advisor

2006-2007: AACSB accreditation

2006-2007: Undergrad program of School of AF

2006-2007: Departmental Assessment panel

2006-2007: Faculty of Business

2006-2007: Undergrad programme under School of AF

University Assignments

Committee Member:

2003-2004 – 2008-2009: Non-Heads of Department Consultative Group

Other Institutional Service Activities:

2006-2007: Task Force on Internationalisation

2003-2004 – 2006-2007: University's Student Hall of Residence

The Hong Kong Polytechnic University

Department Assignments

Member:

2019-2020: AF Teaching Resources website (<https://www.polyu.edu.hk/af/aftr/index.html>)
(Finance)

Other Institutional Service Activities:

2019-2020: Coordinator: Industry interest group

2019-2020: Assistant Program Leader, BBA (Financial Services)

2019-2020: Coordinator, Service Learning, Service Council, The School of Accounting and Finance

2015-2016 – 2016-2017: Program Manager of MCF/MoF

University Assignments

Committee Member:

2019-2020: MBA program committee

Unassigned

Department Assignments

Member:

2019-2020: MPAcc & MSc (Accountancy) Programme Committee

Other Institutional Service Activities:

2019-2020: Interview panel

Service to the Profession

Other Professional Service Activities

2020: Hong Kong Institute of Certified Public Accountants (HKICPA), Examination Committee.
Examiner for Module B of QP examination from 2013 to 2016

2017: Hong Kong Institute of Accredited Accounting Technicians (HKIAAT). Assessor

2004 – 2006: Hong Kong Institute of Bankers (HKIB). Examiner

2002 – 2005: Hong Kong Institute of Certified Public Accountants (HKICPA) Qualification Programme. Examiner of Module B (Financial Management)

Service to the Community

Member of a Committee

2019: Methodist College Alumni Association, Elected as the Vice-Chairman from 2014 onwards.

2019: Incorporated Management Committee, Methodist College (Under Education Ordinance)

Faculty Development

Research-Related Conference/Seminar

2013: School of Accounting and Finance, The Hong Kong Polytechnic University, Hung Hom, China- Hong Kong. Participate in the presentation of Professor Eli Bartov, New York University on "Does income statement placement matter to investors?".

2012: School of Accounting and Finance, The Hong Kong Polytechnic University, Hung Hom, China- Hong Kong. Participate in the presentation of Professor Rudolf Hirschheim, Ourso Family Distinguished Professor of Information Systems, Louisiana State University, on Global sourcing of services: myths, realities and future directions.

Participate in Dr HYUN Seung Na's (City University of HK) presentation on his paper "Are institutional investors with multiple blockholdings effective monitors."

Participate in the presentation of Professor Michael Adams of University of Western Sydney on his paper "Global trends in corporate governance."

2011: Journal of Contemporary Accounting & Economics (JCAE) Symposium, Hung Hom, China- Hong Kong. Attend the two-day symposium that provides a platform for world renowned academics from accounting, economics and corporate governance disciplines to present cutting-edge research findings and to exchange insightful perspectives.

2011: School of Accounting and Finance, The Hong Kong Polytechnic University, Hung Hom, China- Hong Kong. Attend the talk by Mr. Charles Haddon-Cave QC on Leadership & Culture, Principles & Professionalism, Simplicity & Safety - Lessons from the Nimrod Review.

Participate in Dr Darwin CHOI's (HKUST) presentation of his paper titled - A test of the self serving attribution bias: evidence from mutual funds.

Participate in Dr Huiwen LAI's presentation of his paper titled - Intermediates Import and Gains from Trade Liberalization.

Participate in Dr John Goodwin's presentation of his paper titled - Audit Partner Business and Audit Quality.

Participate in Professor Charles Wasley's presentation of his paper titled - Information Externalities along the Supply Chain: The Economic Determinants of Suppliers' Stock Price Reaction to Their Major Customers' Earnings Announcements.

Participate in Dr. Yongtae's presentation of his paper titled - Does Eliminating the Form 20-F Reconciliation from IFRS to US GAAP Have Capital Market Consequences?

2008: JCAE/AJPT Joint Symposium 2008, Hong Kong, China. Participate in the Doctoral Consortium as well.

2008: School of Accounting and Finance, The Hong Kong Polytechnic University, Hong Kong, China- Hong Kong. Participate in Professor David Ng's presentation on his paper: Cross-Country Differences in Firm Multiples.

Discussant of Dr. Byungcheol Charlie Sohn from City University presentation of his paper: Equity Value, Implied Cost of Equity, and Shareholders' Real Options.

Participate in Dr. Francis Kim's presentation on his paper: Analyst-Forecast Inefficiency in Reaction to Earnings News: Cognitive Bias vs. Economic Incentives.

Participate in Dr Lai Huiwen's presentation on Forecasting US Recession in a Data Rich Environment.

Participate in Dr Li Tao of Chinese University of Hong Kong presentation on Heterogeneous Beliefs, Option Prices, and Volatility Smiles.

2007: The Hong Kong Polytechnic University, Hong Kong, China. March 2007: Participate in Dr. LAI Kam-Wah's presentation on Reduction of non-audit fees and earnings management. The paper shows that those firms having higher reduction of non-audit fees after The Sarbanes-Oxley Act are less likely to engage in earnings management as well as higher levels of discretionary accruals.

2007: The Hong Kong Polytechnic University, Hong Kong, China. March 2007 Participate in Professor Gerald J. LOBO's presentation on Is there a relation between abnormal bank loan loss provision and auditor fees? This paper focus on single accrual on a single industry (banking), which is capable to estimate the abnormal accrual, a proxy for earnings management better than other previous studies. They document that abnormal loan loss provisions are associated with fees paid to the auditor.

2007: The Hong Kong Polytechnic University, Hong Kong, China. April 2007 Participate in Dr Steven WANG and Dr LI Wei's presentation on Stock returns, consensus beliefs, and individual and institutional trades. The paper study the relation between stock returns, consensus beliefs, and investors trading volume changes in Chinese Shanghai stock market. They find that better informed institutions tend to selectively trade on some particular stocks, while less informed individuals tend to allocate their investments more evenly across different stocks.

May 2007 Dr Steven Wei, Large foreign ownership and firm level stock return volatility in emerging markets

June 2007 Participate in Dr. Bin Ke from Pennsylvania State University, currently visiting CityU, his paper 'The Effect of Cross Listing on the Sensitivity of Managerial Compensation to Firm Performance'

June 2007 Participate the seminar presented by Dr. George Wong from Monash University :'Multiplicative Risk Prudence'

July 2007 Participate and critique Professor Bin Srinidhi's paper. Research students Jerry Chen and Derek Yim will discuss Bin's paper: Informativeness of Discretionary Accruals:Evidence from Auditor and Investor Pricing. Comments from Prof Srinidhi

Hi Derek

Thanks very much for your discussion of the paper. You made some very good points and it should improve the paper.I would greatly appreciate it if you can send the discussion to me or Nancy.

Thanks

Bin

Thanks Derek, for your insightful comments.

Nancy

July 2007: Participate in the presentation of Professor Charles Chen's paper 'Private Benefits of Corporate Control and Sensitivity of CEO Turnover Rate to Accounting Performance Measures'

August 2007 Participate in the presentation by Dr. Kai Li from University of British Columbia with her paper 'Removing Market Friction and Sharing the Gain: Evidence from the Split Share Structure Reform in China'

August 2007: Participate in the presentation of Dr Subrata Sarkar from the Indira Gandhi Institute of Development Research paper titled 'Multiple Board Appointments and Firm Performance in Emerging Economies: Evidence from India'

September 2007: Participate in the presentation of Dr. Jonathan Reeves from University of New South Wales and currently visiting our School this semester with his paper 'Monthly Forecasts of Systematic Risk: An Evaluation'. Research students Sarah Yang and myself are discussants.

September 2007

(September 21), Participate the presentation of Professor Wilson Tong with Professor Tsui's paper 'Persistency of Market-to-Book Ratio'

October 15, 2007: Participate in the presentation of Dr Wei LI and Dr Steven WANG on the paper-- Do institutional trade stabilize the retail investor dominated market?

2007: Journal of Contemporary Accounting & Economics (JCAE) Symposium, Penang, Malaysia. Also participate in the Doctoral Consortium

2007: The Hong Kong Polytechnic University, Hong Kong, China. October 17, 2007: Participate in the presentation by Professor Jeffrey Callen from New York University, currently visiting CityU, his paper "The Impact of Earnings on the Pricing of Credit Default Swaps"

2007: The Hong Kong Polytechnic University, Hong Kong, China. October 26, 2007: Participate in the presentation of Dr. Steven Wang's paper "Do Institutional Trades Stabilize the Retail Investor Dominated Market?"

Other Professional Development

2013: HKICPA, Wanchai, China- Hong Kong. Attend the seminar on the common application issues on HK FRS. It is presented by Joel CHAN, Partner of RSM Nelson Wheeler. This is part of the technical updates organized by HKICPA. It provides comprehensive training to build up knowledge on current financial reporting and auditing issues. It also aims to explore key areas of complexity within the standards and deal with issues arising from the application of standards.

2012: HKICPA, Wanchai, China- Hong Kong. Attend the seminar of Financial reporting on business valuation by Stella Law of CBRE and Ross Wang of CBRE. It covers what is purchase price allocation; common methodologies on business valuation and intangible assets valuation and wrap up with a case study

2011: Hong Kong Institute of Certified Public Accountants (HKICPA), Wanchai, China- Hong Kong. Attend the seminar on the revised Code of Ethics for Professional Accountants, presented by Steve ONG, HKICPA Director, Standard Setting. He covered the fundamental principles and the overview of changes on the revised Code of Ethics effective on 1 January, 2011. The second part is delivered by the Community Relations Department, ICAC on Professional Ethics. It discusses the Best Defense against Corruption.

Professional Seminars / Workshops

2014: The Hong Kong Society of Financial Analysts (HKSFA), Central, China- Hong Kong. Mr Atul Sohdi, Managing Director and Head, Loan Syndication, Credit Agricole, Corporate and Investment Bank, presented the trends, opportunities and risks inherent in the Asia Pacific loan market.

2013: The Hong Kong Society of Financial Analysts (HKSFA), Hong Kong, China- Hong Kong. Attend the seminar on the New trends and opportunities for offshore PE funds' integration into China market. It is delivered by YANG Wantao, partner of Zhong Lun Law Firm. He examines through some cases on how and to what extent some offshore typical PE investment mechanisms have been utilized in PRC, some alternative structures tested for offshore fund managers to tap into RMB funds market, the challenges and solutions for offshore investors' protections in a distressed situation.

2012: The Hong Kong Society of Financial Analysts (HKSFA), Central, China- Hong Kong. Attend the seminar: Exploring investment opportunities in currency markets by Dr A. Chung. Topics cover: basic facts and recent developments in currency markets; zero-sum game versus long-term sustainable risk premium in returns; carry trades in developed and emerging currencies; incorporate risk and return opportunities in portfolio construction; overlay versus absolute-return management; successful currency investments in an uncertain world.

2012: The Hong Kong Polytechnic University, China Business Centre, Hunghom, China- Hong Kong. Organize and deliver lectures for the International Trade Program targeting co-operatives candidates of Australian Institute for Mobility (AIM) Overseas developed by China Business Center, HK PolyU. The topics of the workshop were: Financial markets in Hong Kong; Financial institutions - Pension funds, hedge funds and private equities; Finance trends - equities, interest rates and commodities; Mergers and Acquisitions; Corporate finance; Equity capital markets and financing instruments.

2011: The Hong Kong Society of Financial Analysts (HKSFA), Central, China- Hong Kong. Attend the education programme: Acceptance of Mainland Standards and Mainland Audit Firms for Mainland Incorporated Companies Listed in Hong Kong presented by Colin Chau, SVP, HK Exchanges and Clearing Limited. It covered the background leading to the introduction of a framework for acceptance of Mainland standards and Mainland audit firms, convergence of accounting and auditing standards, regulatory systems over audit firms and audit quality expectations, and the list of approved mainland audit firms.

2011: The Hong Kong Society of Financial Analysts (HKSFA), Admiralty, China- Hong Kong. Attend the programme: China Banking Industry - Emerging Opportunities and Challenges, presented by Simon HO, Head of Asian Financial Research, Citi Investment Research - Asia Pacific. The second part is focused on the Moody's Outlook for Chinese Banking Industry, presented by Stephen LONG, Managing Director - Asia (ex-Japan), Financial Institutions Group, Moody's Investors Service.

2011: The Hong Kong Polytechnic University, Hunghom, China- Hong Kong. Organize and deliver a lecture for the Global Expert Program 2010-2011 jointly developed by China Business Centre, HK PolyU and Institute of International Cooperation and Education, University of Seoul

2011: The Hong Kong Polytechnic University, China Business Centre, Hunghom, China- Hong Kong. Organize and deliver a lecture for the candidates of Baden-Wuerttemberg Cooperative State University jointly developed by China Business Centre, HK PolyU. The topics of the workshop was: Hong Kong stock market – influences and dependencies to the European stock market.

2011: The Hong Kong Polytechnic University, China Business Centre, Hunghom, China- Hong Kong. Organize and deliver lectures for the International Trade Program targeting co-operatives candidates of Australian Institute for Mobility (AIM) Overseas developed by China Business Centre, HK PolyU. The topics of the workshop were: Financial markets in Hong Kong; Financial institutions – Pension funds, hedge funds and private equities; Finance trends – equities, interest rates and commodities; Mergers and Acquisitions; : Corporate finance; Equity capital markets and financing

instruments

Honors-Awards-Grants

Award

2019: Financial Education Champion 2020, Investor and Financial Education Council (IFEC). a recognition of the contribution towards improving the financial literacy of Hong Kong people .

2019: 2nd runner up, Vice-President Cup Table tennis Competition, The Hong Kong Polytechnic University.

2017: Award of Merit, hk polytechnic university, School of Accounting and Finance. recognition of outstanding performance in the competition for HKICS Teaching Award 2016/17.

2017: Faculty Prize for Services Team (Team Leader), hk polytechnic university.

2014: Outstanding Professional Services Award 2014, Ptec, The HK Polytechnic University. Under the consultancy activities category, we ranked number 2 in contract value for a multi-departmental project namely "Summer programme 2014 HK International Education and Culture Exchange". Dollar amount was HKD468,000. I was the co-manager in this project. It involved business training to undergraduates from various universities in Guangzhou province, PRC.

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