

JING XIE

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ACADEMIC APPOINTMENT

Hong Kong Polytechnic University, School of Accounting and Finance

Assistant Professor of Finance, 2015 July -

EDUCATION

Ph.D., Dept. of Finance, National University of Singapore (NUS), 2010 ~ 2015

Visiting Ph.D. Student, Carroll School of Management, Boston College, 2014/01~2014/06

M.Phil. Dept. of Electrical & Electronic Engineering, University of Hong Kong, 2007~ 2009

B.E. Dept. of Computer Science, University of Science & Technology of China, 2003~ 2007

PUBLICATIONS:

1. "Preference for Dividends and Return Comovement," (with Allaudeen Hameed), *Journal of Financial Economics*, April 2019, Vol.132 (1), pp.103-125
2. "Understanding Informal Financing," (with Franklin Allen and Meijun Qian), *Journal of Financial Intermediation*, July 2019, Vol.39, pp 19-33
3. "Institutional Trading and Abel Noser Data," (with Gang Hu, Koren M. Jo, and Yi Alex Wang), *Journal of Corporate Finance*, October 2018, Vol.52, pp.143-167

SELECTED WORKING PAPERS:

1. "Traveling Governance of Shareholder Activism: Evidence from Voluntary Adoption of Clawback Provision," (with C.S. Agnes Cheng, Gang Hu, and Zeyu Sun). R&R at *The Accounting Review*
2. "Common Equity Blockholders and Diffusion of Tax Avoidance," (with C.S. Agnes Cheng and Zeyu Sun). R&R at *Journal of Accounting Economics*
3. "Do institutions have the information to play a significant role in corporate governance? Direct evidence from institutional trading around CEO turnovers," (with Thomas Chemmanur, Gang Hu, and Yingzhen Li). R&R at *Journal of Corporate Finance*
4. "Common Institutional Ownership and Crash Risk," (with C.S. Agnes Cheng, and Yuxiang Zhong)
5. "Innovation beyond Firm Boundaries: Common Blockholders, Strategic Alliances, and Corporate Innovation," (with Thomas Chemmanur and Yao Shen).
6. "Stock-Picking by Mutual Funds: Evidence from Their Trades in Family-Controlled Firms".

7. “Noisy Signaling through Open Market Share Repurchase Programs and the Effect of Information Production by Institutions,” (with Thomas Chemmanur, Yingzhen Li, and Yuyuan Zhu).
8. “The Geography of Institutional Investors, Information Sharing among Institutions, and Initial Public Offerings (with Thomas Chemmanur, Jiekun Huang and Yuyuan Zhu).
9. “Private Investments in Public Equity (PIPEs): How does the Capital Gains Tax Rate Affect Pricing and Performance?” (with Thomas Chemmanur and C.S. Agnes Cheng)
10. “Weather and Voluntary Management Forecasts,” (with C.S. Agnes Cheng, Dan Eshleman, and Peng Zhang).
11. “Stakeholder Importance and Corporate Social Responsibility: Evidence from a Quasi-Natural Experiment” (with C.S. Agnes Cheng, Xiaohui Li, and Zeyu Sun).
12. “The Impact of Government Outsourcing Contracts on High-tech Vendors: An Empirical Study,” (with Yi Dong, Nan Hu, Yonghua Ji, and Chenkai Ni),
13. “Implicit Benefit and Financing,” (with Franklin Allen and Meijun Qian).
14. “Trade Credit as an Agency Problem,” (with Jian Zhang)

AWARDS, GRANTS, AND SCHOLARSHIP

1. Best Paper Award, the 31st Asian-Pacific Conference on International Accounting Issues (paper title: Stakeholder Importance and Corporate Social Responsibility: Evidence from a Quasi-Natural Experiment) 2019
2. Finalist for the Corporate Finance Best Paper Award, FMA Asia/Pacific Conference (paper title: Corporate Social Responsibility and Shareholder-Stakeholder Conflict: Evidence from a Quasi-Natural Experiment), 2019
3. Best Paper Award, Asian Finance Association Annual Conference (paper title: “Traveling Blockholder Governance: Evidence from Voluntary Adoption of Clawback Provision”), 2017
4. Semifinalist for Best Paper Award, Financial Management Association Conference (paper title: “Traveling Blockholder Governance: Evidence from Voluntary Adoption of Clawback Provision”), 2017
5. PI, GRF Grant, Hong Kong Research Grants Council(HK\$503,988), 2019
6. PI, ECS Grant, Hong Kong Research Grants Council(HK\$176,000), 2017
7. PI, Internal Grant for ECS over 3.5, Hong Kong Polytechnic Univ (HK\$105,000), 2016
8. PI, Start-up Research Grant, Hong Kong Polytechnic University (HK\$300,000), 2015
9. American Finance Association (AFA) Student Travel Grant, Philadelphia, 2014
10. Research Postgraduate Scholarship, 2010 ~ 2015, National University of Singapore
11. Grant for Ph.D. Exchange Program, 2014, National University of Singapore
12. Research Postgraduate Scholarship, 2007 ~ 2009, University of Hong Kong
13. Golden Medal for Outstanding Freshman (Top 5% in National College Entrance Exam) 2003, Outstanding Student Scholarship, 2004~2006, University of Science & Technology of China

PROFESSIONAL CERTIFICATION AND SERVICE

1. Referee for Journals: *Journal of Corporate Finance*, *International Review of Finance*, *Journal of Economics and Business*, *China Accounting and Finance Review*
2. Passed Chartered Financial Analyst (CFA) Level III Exam in 2011

TEACHING & INTERNAL SERVICE AT HONG KONG POLYU

1. Lecturer of *Corporate Finance* (undergraduate), 2015 ~ 2019
2. AF Database Committee member, 2016 ~ 2019

PAPER PRESENTATIONS (* indicates presentation by co-authors)

2019: Greater Bay Area Conference, International Conference in Accounting, Finance, Economics and Law (ICAFEL), FMA European Conference*, FMA Asia/Pacific Conference

2018: Asian Finance Association Conference (four papers), Asian Bureau for Finance and Economics Research (ABFER) Annual Conference

2017: American Accounting Association Annual Meeting (two papers), American Economic Association Annual Meeting, Asian Finance Association Conference (five papers), China International Conference in Finance (CICF), Financial Intermediation Research Society (FIRS) Conference*, Financial Management Association (FMA) Conference (three papers)*, International Conference in Accounting, Finance, Economics and Law (ICAFEL), UT Arlington, University of Massachusetts Lowell

2016: American Accounting Association Annual Meeting*, Asian Finance Association Conference (two papers), Financial Management Association (FMA) Conference*, Conference on Asia-Pacific Financial Markets (CAFPM)*, International Conference in Accounting, Finance, Economics and Law (ICAFEL), University of Connecticut*

2015: HK Polytechnic Univ., Tsinghua PBC, Hong Kong Joint Finance Research Workshop, Asian Finance Association Conference (two papers), NUS, HKU*, CUHK*, Aalto*, Lancaster*, China International Conference in Finance (CICF)*, Joint CAFR and JAAF Conference (Session chair& Discussant)

2014: 27th Annual Australasian Finance and Banking Conference (AFBC), Financial Management Association (FMA) Conference, National University of Singapore

2013: China International Conference in Finance (CICF) *, Asian Bureau for Finance and Economics Research inaugural workshops *, Southern Economic Association Conference*