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**Allen NG, D.B.A.**  
**Senior Teaching Fellow**  
**Accounting & Finance**  
**Faculty of Business**  
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## **Academic Background**

D.B.A. University of Newcastle, Australia, Business Administration, 2010.

M.B.A. The Chinese University of Hong Kong, Hong Kong, China, 1999.

B.S. The Chinese University of Hong Kong, Hong Kong, China, Social Science, 1994.

## **Certifications**

Chartered Financial Analyst, 2001 (2001-2019)

## **Memberships**

CFA Institute, 1997-2019

## **Work Experience**

### **Academic Experience**

Senior Teaching Fellow, School of Accounting and Finance, The Hong Kong Polytechnic University (July, 2009 - Present), Hong Kong, China.

Lecturer, School of Accounting and Finance, The Hong Kong Polytechnic University (March, 2008 - June, 2009), Hong Kong, China. Conducted Financial Analysis and Valuation Security Analysis and Portfolio Management Course with excellent ratings.

### **Non-Academic Experience**

#### **National**

Senior Wealth Management Training Manager, Learning and Employee Development, Asia Pacific, HSBC (July, 2005 - December, 2007), Hong Kong, China. Managed a team of 8 professional staff; Developed new and advanced wealth management curriculum that rolled out to personal and commercial banking in Hong Kong and across Asia Pacific countries; Conducted wealth management training across Asia Pacific countries like Singapore, Brunei, Philippine, Indonesia, Taiwan, China and Australia. Accomplishments: Promoted to Senior Manager within 5 months; Trained up top management to achieved HKSI paper 1 and paper 2; Successfully developed advanced investment training curriculum for commercial banking, personal banking and across Asia Pacific Countries

Manager/Training Specialist, MEDC, The Hong Kong Polytechnic University (August, 2003 - December, 2004), Hong Kong, China. Manage a team of 8 administrative staff; Develop customized finance training program to institutions; As a chairman of the Subject Assessment Review Panel, lead ongoing academic review and development of subject area; Approve and appoint part-time

lecturer in the financial training program. Accomplishments: Received on average 4.3 out of 5 score in student feedback evaluation questionnaire; Launched standardized training materials and notes for each module of CFP courses; Developed finance program and assured quality of course/program. Enrollment figure and revenue of the finance program increased 100% from 2003 September to 2005 January

Hong Kong Marketing Director, HANTEC INVESTMENT CONSULTANT LTD (November, 2001 - August, 2003), Hong Kong, China. Managed a team of 5 professional staff in Sheung Wan Branch; Developed new business including mutual funds, hedge fund, investment-linked insurance scheme, insurance products, shares trading and corporate finance activities; Conducted finance training program to consultant.

Accomplishments: Gave independent financial advises to customers for wealth management. Managed Asset grew to HK\$40m; Successfully managed financial service center with high client retention rate and customer satisfaction

HONG KONG Business Development Manager, MEDIA3WAY LTD (July, 2000 - October, 2001), Hong Kong, China. Managed a small sales team. Initiate and develop channel plan for new services; Secured big customers like Trade Development Council, PCCW; Formulated and implemented strategic plan to roll-out service to Greater China region.

Accomplishments: Secured fund from venture capital; Achieved milestones set up by Venture Capitalist; Assisted CEO set up office in Hong Kong and Shanghai

HONG KONG Financial Services Executive, HSBC (February, 2000 - July, 2000), Hong Kong, China. Provided customized financial planning services to customers in Central and Western District; Generated revenue through insurance and investment products selling

HONG KONG Analyst, SUN HUNG KAI RESEARCH (July, 1999 - November, 1999), Hong Kong, China. Researched small capitalization industrial stock and produce independent company report; Performed companies and factory visit and gave investment advice to potential investors

HONG KONG Analyst (Internship), SALOMON SMITH BARNEY (March, 1999 - July, 1999), Hong Kong, China. Cooperated with China team and Great Wall Technology (74) was listed on Stock Exchange; Collated and performed economic and financial data analysis; Performed due diligence

Marketing Executive, JARDINE PACIFIC LIMITED (September, 1995 - June, 1997), Hong Kong, China. Evaluated sales and marketing activities; Promoted to marketing executive from marketing trainee within one year

## **Consulting**

2017: Infield Asset Management, Serve as an independent director and oversee the investment process for the asian hedge fund

## **Intellectual Contributions:**

### **Refereed Articles**

NG, A., & Shen, J. (2019). Quality Investing in Asian stock markets. *Accounting & Finance*.

NG, A. C.C., & Shen, J. (2016). Screen Winners from losers using simple fundamental analysis in the Pacific-Basin stock markets. *Pacific-Basin Finance Journal*, 39, 159-177.

## **Service:**

### **Service to the University**

## **The Hong Kong Polytechnic University**

### **Department Assignments**

#### **Other Institutional Service Activities:**

2018-2019: Deputy Program Director, Master of Finance/Master of Corporate Finance

2015-2016: Service Council

2015-2016: Program Director, Part-time Master of Finance/Corporate Finance

2013-2014: Deputy Program Director, Master of Finance/Master of Corporate Finance

#### **Unassigned**

### **Department Assignments**

#### **Member:**

2016-2017: Departmental Learning and Teaching Committee

#### **Service to the Profession**

### **Member: Committee/Task Force**

2018: The Hong Kong Society of Financial Analysts (HKSFA).

#### **Faculty Development**

### **Assurance of Learning - Professional Development**

2013: Workshop - HKPU, Hong Kong, China- Hong Kong.

Date: 29 April 2014 (Tue)

Time: 3:00pm - 4:30pm

Speaker:

Ms Winnie Ki, Partner, Assurance, Ernst & Young

Mr Byron Khoo, Partner, Professional Practice Group, Ernst & Young

Topic: Leasing Accounting - A New Direction

2013: Workshop - HKPU, Hong Kong, China- Hong Kong.

Date: 23 May 2014 (Fri)

Time: 2:30pm – 4:00pm

Speaker: Mr Stefan Lo, Senior Government Counsel, Department of Justice

Topic: Major Changes in the New Companies Ordinance

### **Research-Related Conference/Seminar**

2014: The Hong Kong University of Science and Technology, Hong Kong, China- Hong Kong.

Date: 14th November 2014 (Friday)

Time: 8:15am to 6:30pm

Topic: The Impact of Sustainable and Responsible Investing

### **Instructional-Related Conference**

2013: Teaching innovations and Beta Alpha Psi - Professor Radebaugh and Professor Stocks, Hong Kong, China- Hong Kong. 18 November 2013

10-11am

Teaching innovation

### **Other Professional Development**

2015: Hong Kong Polytechnic University, MEDC, Hong Kong, China- Hong Kong. Date : 5 March 2016

Time: 1-3pm

Speaker: Allen Ng

Topic: Active vs Passive Investment

### **Professional Seminars / Workshops**

2018: The Hong Kong Society of Financial Analysts (HKSFA), Hong Kong, China- Hong Kong. Relative Valuation Effects of local and foreign analysts' voting: the case of IR awards

5 July 2018

Time:12:30-1:30pm

2017: The Hong Kong Society of Financial Analysts (HKSFA), HK, China- Hong Kong. Date: 1 Dec 2016

Time: 12:15pm-1:30pm

Topic: Stock Picking, Risk and Smart Beta

Speaker: **Mr. Tim Edwards**

Senior Director, Index Investment Strategy

S&P Dow Jones Indices

2016: School of Accounting and Finance, The Hong Kong Polytechnic University, Hong Kong, China- Hong Kong. Date: 19 April 2016

Time: 7:30-8:30pm

Speaker: Dr. Eric Chiang

Topic: Behavioral Finance and Investing

2016: The Hong Kong Society of Financial Analysts (HKSFA), Hong Kong, China- Hong Kong. Date: 24 June 2016

Time: 12:15pm-1:30pm

Topic: Elegant Portfolio Discovery

Speaker: Dr Wing Cheung

2014: The Hong Kong Society of Financial Analysts (HKSFA), Hong Kong, China- Hong Kong.

Date 2 July 2014, 12:30-1:30pm

Title: Out of the balance sheet recession and into a QE trap

Speaker: Richard Koo

CFA Institute

2014: The Hong Kong Society of Financial Analysts (HKSFA), Hong Kong, China- Hong Kong.

Date: 7 Nov 2014

Time: 12:30-1:30pm

Speaker: Joel Litman

Topic: From Accounting to Cash, From Earnings to ROI, From Performance to Valuation

### **Honors-Awards-Grants**

#### **Award**

2010: , CFA Institute and HKSFA. Hong Kong Asian Capital Markets Research Prize 2010.

## **Other Activities**

2006 - : Co-Author of CFA800

2006 - : External reviewer of IFPHK, text book of CFP investment module .CFP Program leader of City University

2006 - : Vice-Chairman of Church of Savior, Methodist Church.

## **References**

Dec 2003 Certified  
Financial Planner,  
IFPHK Sep 2002  
Chartered Financial  
Analyst, AIMR

Jul 2002 Diploma Program  
Examination, Hong Kong  
Securities Institute Feb 2000  
IIQAS Paper I to IV

Fall 1998 University of  
Chicago, Graduate School  
of Business International  
Exchange Program

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