

December 2019

## QIANQIAN DU

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School of Accounting and Finance

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### **Education:**

Ph.D. University of British Columbia, Sauder School of Business, 2009

M.Sc. University of Oxford, Economics, 2004

B.A. Shandong University, Economics, 2003

### **Employment:**

2015 – Present: Assistant Professor, School of Accounting and Finance, Hong Kong Polytechnic University

2009 – 2015: Assistant Professor, Shanghai Advanced Institute of Finance (SAIF)

2013: *Reduced duties due to maternity*

### **Research Interests:**

Venture Capital and Private Equity; Financial Analyst; Corporate Governance; Innovation

### **Publications:**

“Cultural Proximity and the Processing of Financial Information”, with Frank Yu and Xiaoyun Yu, 2017, *Journal of Financial and Quantitative Analysis* 52, pp.2703-2726.

“Birds of a Feather or Celebrating Differences? The Formation and Impact of Venture Capital Syndication”, 2016, *Journal of Empirical Finance* 39, pp.1-14.

“The Effects of Government-Sponsored Venture Capital: International Evidence”, with James Brander and Thomas Hellmann, 2015, *Review of Finance* 19, pp. 571-618.

“The Relative-Age Effect and Career Success: Evidence from Corporate CEOs,” with Huasheng Gao and Maurice Levi, 2012, *Economics Letters* 117, pp. 660-662.

“International Patterns of Ownership Structure Choices for Startups: Does the Quality of Law Matter?” with Ilan Vertinsky, 2011, *Small Business Economics* 37, pp. 235-254

### **Working Papers:**

“Getting tired of your friends: The dynamics of venture capital relationships”, with Thomas Hellmann, 2019, NBER working paper No. 26274, under review

-Featured in the Harvard Law School Forum on Corporate Governance and Financial Regulation

-Featured in the European Corporate Governance Institute (ECGI)

“Standing out from the crowd: The real effects of outliers”, with Frank Yu and Xiaoyun Yu, 2019, under review.

“The Power of Analysts Forming Groups in Forecasting Earnings”, with C.S. Agnes Cheng, Le Luo, and Jianguang Zeng, 2019.

### **Other Publications:**

“Governments as Venture Capitalists: Striking the Right Balance”, with James Brander and Thomas Hellmann, included in the Globalization of Alternative Investments, Working Papers Volume 3: *The Global Economic Impact of Private Equity Report 2010*, The World Economic Forum, Davos.

### **Awards and Honors:**

China International Conference in Finance (CICF) **Best Paper Award** for “Cultural Proximity and the Processing of Financial Information”, 2013  
The Chinese Finance Association (TCFA) **Award for the Best Paper** on Corporate Finance for “Cultural Proximity and the Processing of Financial Information”, 2013  
NBER Entrepreneurship Working Group Travel Grant for Doctoral Student, March 2008  
NBER Entrepreneurship Research Boot Camp, Student Fellow, July 2008  
Shanghai Jiao Tong University Research Excellence Award 2011, 2012  
Ph.D. Tuition Fee Award, University of British Columbia  
C. K. Choi Fellowship, University of British Columbia  
Mostly first-class fellowships, Shandong University

### **Presentations and Conferences:**

*Presentations given by co-authors are denoted by \**

2019:

China International Conference in Finance (CICF), Guangzhou, July (discussant); SFS Cavalcade Asia-Pacific 2019 (discussant); University of International Business and Economics, Beijing, December.

2018:

Financial Management Association Asia Meetings, Hong Kong, May (paper reviewers and attendant); MIT Asia Conference in Accounting, Hong Kong, July (moderator and attendant)

2017:

Financial Intermediation Research Society (FIRS) Conference, Hong Kong, June (discussant); China International Conference in Finance (CICF), Hangzhou, July (discussant).

2016:

ICAFEL (Taichung, Taiwan, discussant), MIT Asia Conference in Accounting, Xiamen, July

2015:

Peking University – HSBC Business School, Shenzhen, Jan; Hong Kong Polytechnic University, February; Hong Kong Baptist University, February; Midwest Finance Association (invited session), Chicago, March; China International Conference in Finance (CICF), Shenzhen, July

2014:

\*WFA, Monterey, June; Financial Intermediation Research Society (FIRS) Conference, Quebec City, June; \*London Business School Summer Symposium, London, June; Chinese Academy of Sciences, China Venture Capital Research Group, Beijing, April; The 4th Conference on Corporate Finance and Financial Institutions, Shanghai University of Finance and Economics, October; Hong Kong Polytechnic University, May; Hong Kong University, May; City University of Hong Kong, May; Shanghai University of Finance and Economics, December; China Europe International Business School, December; Fudan University, December

2013:

\*FSU SunTrust Beach Conference, Sandestin, April; \*21st Mitsui Finance Symposium “Labor and Finance”, Stephen M. Ross School of Business, University of Michigan Ann Arbor, June; \*2013 MIT Asia Conference in Accounting, Shanghai, July; \*China International Conference in Finance, Shanghai, July; \*2013 TCFA Best Paper Symposium, New York, November

2012:

Southwestern University of Finance and Economics, Chengdu, May

2011:

AFA, Denver, January; Chinese Academy of Finance and Development (CAFD) and Peking University, Beijing, March; Financial Intermediation Research Society (FIRS) Meeting, Sydney, June (discussant); China International Conference in Finance, Wuhan, July (discussant)

2010:

Entrepreneurial Finance and Innovation Conference, Cambridge MA, June; China International Conference in Finance, Beijing, July; Emerging Market Finance Conference (discussant), Beijing, July; FMA Asian Conference, Singapore, July; SAIF Summer Finance Institute, Shanghai, July; FMA, New York, October;

2006-2009:

Shanghai University of Finance and Economics, September 2009; Shanghai Winter Conference, China Europe International Business School, December 2009; 15th Annual CCC Doctoral Colloquium, Carnegie Mellon University, Pittsburgh, April 2008; Academy of Management Annual Meeting, Anaheim, August 2008; \*Academy of International Business Annual Meeting, Milan, June 2008; Academy of Management Annual Meeting, Philadelphia, August 2007; All China Economics International Conference, Hong Kong, December 2006

### **Research Grants:**

General Research Fund, University Grants Committee (2018-2019)

Internal Grant for GRF ranked 3.5 by University Grants Committee (2017-2018)

Internal Project Grant, Hong Kong Polytechnic University (2015-2017)

Start-up Grant, Hong Kong Polytechnic University (2015-2018)

SAIF Junior Faculty Research Grants, SAIF (2009-2015)

CAFR Project Funding, SAIF (2013-2015)

### **PhD Committee:**

Co-supervisor of Yang Wang, Hong Kong Polytechnic University

### **Teaching:**

Corporate Finance (Undergraduate, PolyU), Fall 2018

Managerial Accounting (Undergraduate, PolyU), Fall 2015, Fall 2016

Entrepreneurial Finance (Master of Finance, PolyU), Fall 2015

Empirical Corporate Finance (PhD, SAIF), Fall 2011, 2012; Spring 2014, 2015

Venture Capital and Private Equity (MBA, SAIF), Fall 2010, 2011, 2012 ; Spring 2014, 2015

Corporate Finance (Master in Finance, SAIF), Spring 2012

Foundation of Financial Economics (Master in Finance, SAIF), Fall 2009, 2010

Mergers and Acquisitions and Investment Banking (MBA, co-teaching with Kai Li), Fall 2009

### **Major Services for Profession:**

Ad hoc Referee for *Management Science*, *Review of Finance*, *Journal of Empirical Finance*, *Journal of Business Venturing*, *Asia-Pacific Journal of Financial Studies*.

External Reviewer for the Research Grants Council of Hong Kong (2011 – 2015)

External Examiner for Hong Kong University

### **Major Services for University:**

Workshop committee (PolyU)

Search committee (PolyU)

Capstone project advisor (PolyU)

Academic advisor (PolyU)

**Major Media Coverage:**

“The Relative-Age Effect and Career Success: Evidence from Corporate CEOs,” with Huasheng Gao and Maurice Levi:

-- “Why Great CEOs Are Born in July”, *Maclean's*, Aug 2008

--“Born on the fourth of July? You probably won't be CEO”, *The Wall Street Journal*, Oct 2012

--“Babies, bosses and the 'birth-date effect'”, *The Globe and Mail*, Oct 2012

--“The Eighth Habit of Highly Effective People? Avoiding Summer Birthdays”, *National Geographic*, Oct 2012

--“Summer babies less likely to be CEOs: study”, *Xinhua News*, Oct 2012